

1 **Autoimmune hepatitis: Brighton Collaboration case definition and guidelines for data**  
2 **collection, analysis, and presentation of immunisation safety data**

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28 those of the individual scientific professional members of the working group. They do not  
29 necessarily represent the official positions of each participant's organisation (e.g., government,  
30 university, or corporation).

31

32 **Abstract**

33 This is a new Brighton Collaboration (BC) case definition for autoimmune hepatitis (AIH),  
34 which has been classified as a priority adverse event of special interest (AESI), as there were  
35 possible cases seen following COVID-19. The case definition was developed by a group of  
36 subject matter and BC process experts to facilitate safety data comparability across pre- and  
37 post-licensure clinical trials, as well as pharmacovigilance activities in multiple settings with  
38 diverse resources and healthcare access. The usual BC case definition process was followed in  
39 an expediated manner, with a systematic review of the literature, and an expert consensus to  
40 define levels of diagnostic certainty for AIH and provide specific guidelines for related data  
41 collection and analysis. The document underwent peer review by a Reference Group of vaccine  
42 safety stakeholders and external AIH experts to ensure case definition useability, applicability,  
43 and scientific integrity. While applicable to cases reported following immunisation, the case  
44 definition is independent of lapsed time following vaccination and, as such, can also be used to  
45 determine background incidence for vaccinated and unvaccinated control groups in studies of  
46 causal association. While use of this case definition is also appropriate for the study of safety  
47 of other products including drugs, it is not meant to guide clinical case management.

48

49 **Keywords:** Autoimmune hepatitis; COVID-19; vaccine; adverse event; case definition

50

51 **1. Introduction**

52 The purpose of this paper is to provide a standard Brighton Collaboration case definition of  
53 autoimmune hepatitis (AIH), which is an inflammatory liver disease of unknown aetiology.  
54 AIH has recently been identified as a priority adverse event of special interest (AESI). Genetic,  
55 environmental and immunological factors appear to interact to trigger the disease.  
56 Autoimmunity to hepatocytes resulting in hepatitis with parenchymal destruction and  
57 potentially fibrosis of the liver.

58 Table 1 (<https://brightoncollaboration.us/category/pubs-tools/case-definitions/>) summarises  
59 the key objectives, features, intended applications and limitations that apply to Brighton  
60 Collaboration case definitions in general following previously published processes [1, 2].

61 **2. Rationale for developing a new Brighton Collaboration case definition for**  
62 **autoimmune hepatitis as an adverse event**

63 Interest in autoimmune hepatitis (AIH) increased during the SARS-CoV-2 pandemic since  
64 it emerged as a possible adverse event following coronavirus disease 2019 (COVID-19) and a  
65 rare adverse event following COVID-19 vaccination [3-6]. As there is no universally accepted  
66 definition of AIH and the need for a case definition is a priority, the Brighton Collaboration  
67 AIH Working Group has developed a case definition for AIH using an expedited process. A  
68 common case definition is essential to ensure data comparability across trials or surveillance  
69 systems to facilitate accurate data interpretation and promote the scientific understanding of the  
70 event.

71

73 Table 1. Brighton Collaboration autoimmune hepatitis case definition and associated guidelines for data collection and analysis

Objective	Case definition format to meet objective
1. To enable comparability of vaccine safety data for clinical trials and surveillance conducted in high, middle and low resource settings. While focused on vaccine safety context, the case definitions may also be used for other product safety research.	<ul style="list-style-type: none"> <li>• Classified in up to three levels of diagnostic certainty from most specific/least sensitive (Level 1) to least specific/most sensitive (Level 3). The levels do not reflect clinical severity or seriousness.</li> <li>• Based on scientific evidence and consensus from a balanced group of subject matter and Brighton Collaboration process experts.</li> <li>• Includes specific guidelines on adverse event data collection and analysis.</li> <li>• Enable all cases to be classified, even if case definition not met:               <ul style="list-style-type: none"> <li>○ Meets case definition at level 1, 2 or 3 of diagnostic certainty;</li> <li>○ Fails to meet any level of certainty because of missing data;</li> <li>○ Not a case because exclusion criterion met or necessary criterion known to be missing.</li> </ul> </li> </ul>
2. To enhance background incidence data quality and reduce causality study bias by providing a definition that can be applied equally to exposed and non-exposed groups.	<ul style="list-style-type: none"> <li>• Interval from exposure (immunisation) to adverse event onset is not a criterion for the case definition, unless it is specific to a known vaccine-event causal association (e.g., generalized vaccinia following exposure to vaccinia virus).</li> </ul>
3. To avoid use in unintended settings, namely clinical case management.	<ul style="list-style-type: none"> <li>• In general, response to treatment is not included as a case definition criterion.</li> </ul>

### 75 **3. Methods for development of the autoimmune hepatitis case definition**

76 The Brighton Collaboration AIH Working Group (WG) was formed in August 2023 by  
77 invitation. The final WG consisting of clinicians (adult and paediatric hepatologists with  
78 expertise in autoimmune hepatitis), and academic, vaccine safety, pharmacovigilance, public  
79 health and regulatory experts from high and low- and middle-income-countries. A literature  
80 search was performed using established search engines and databases. Because the case  
81 definition was considered a priority to support ongoing suspect case validation, it was  
82 developed in an expedited manner, using all standard processes for developing case definitions.  
83 The AIH WG met weekly to develop the case definition and guidelines based on expert  
84 consensus and review of the evidence from the literature search. The WG members  
85 independently classified AEFI reports of suspected AIH using the penultimate case definition  
86 to test its useability. These classifications were used to finalise the case definition which then  
87 underwent external review by the Brighton Collaboration reviewers and external AIH expert  
88 peer reviewers in high, low-and middle-income countries. The AIH WG reviewed and  
89 incorporated the feedback into the final case definition. This expedited process allowed  
90 development of the CD within 2 months, rather than the usual 1-year development time. Thus,  
91 this expedited process can be replicated for development other standardised CDs for priority  
92 AESIs for endemics and epidemics.

### 93 **4. Definitions and general description of autoimmune hepatitis**

#### 94 **4.1. Autoimmune hepatitis**

95 AIH is an inflammatory liver disease of unknown aetiology, in which loss of immune  
96 tolerance to hepatocytes results inflammatory parenchymal destruction. It may be triggered by  
97 genetic, immunological, and environmental factors, including infections, toxins and drugs [7].  
98 Diagnosis is based on a combination of histopathology, serological and laboratory testing and  
99 exclusion of other diagnosis that exhibit similar features, as there is no pathognomonic

100 diagnostic biomarker for AIH. Characteristic histological features include portal tract infiltrates  
101 containing lymphocytes and plasma cells, prominent interface hepatitis (i.e., extension of  
102 inflammation into the parenchyma causing destruction of hepatocytes at the interface of the  
103 portal tracts and hepatic parenchyma), and expansion of portal zone connective tissue. This is  
104 accompanied biochemically by elevated aminotransferase levels and  
105 hypergammaglobulinemia, and serologically by tissue-directed autoantibodies [8-12]. Timely  
106 diagnosis and initiation of appropriate therapy are important; however, diagnosis can be  
107 challenging because of the variability of clinical, biochemical, serological and histological  
108 features and absence of a specific diagnostic test. If left untreated, AIH can result in cirrhosis,  
109 complications of portal hypertension, and either liver transplantation or death. Hepatocellular  
110 carcinoma has also been reported in 0.2%-12.3% of patients with cirrhosis caused by AIH [13].  
111 Clinical and biochemical remissions are feasible in up to 85% of patients, reducing the need for  
112 transplantation [11]. Reports from large datasets indicate 60% to 68% biochemical remission  
113 to standard of care immunosuppression for AIH [14].

114 Clinical features are non-specific and vary among patients, ranging from asymptomatic  
115 hepatitis to acute liver failure. Signs and symptoms may include anorexia, fatigue, malaise,  
116 arthralgia involving small joints, nausea, vomiting, abdominal pain, weight loss, transient  
117 erythematous rash, hepatomegaly, splenomegaly, jaundice, and amenorrhea in women [15].  
118 Other extrahepatic autoimmune diseases are common, including autoimmune thyroiditis,  
119 rheumatoid arthritis, vitiligo, Sjogren's syndrome, systemic lupus erythematosus (SLE),  
120 ulcerative colitis, celiac disease, Crohn's disease, psoriasis and type 1 diabetes [16, 17].

#### 121 **4. 2. Autoimmune hepatitis and SARS-CoV-2 infections**

122 In the complex pathophysiology of autoimmune diseases, infections are the most important  
123 environmental trigger, especially in individuals with genetic susceptibility [18]. Potential  
124 mechanisms to explain how infections might provoke autoimmune reactions include cross-

125 reaction or molecular mimicry, bystander activation, epitope spreading, and presentation of  
126 cryptic antigens [19]. AIH has been reported in patients with Epstein-Barr virus (EBV) and  
127 hepatitis C infections [20, 21].

128 Associations between SARS-CoV-2 infection and the development of autoimmunity have  
129 been reported [18, 22-24]. Autoinflammatory dysregulation appears to have contributed to  
130 tissue damage in several cases of SARS-CoV-2 infection [22]. It is thought that SARS-CoV-2  
131 could act as a triggering factor for autoinflammatory dysregulation in genetically predisposed  
132 individuals [25]. AIH has been reported in patients following SARS-CoV-2 infection, including  
133 in unvaccinated patients, but has rarely occurred after COVID-19 vaccination [3, 26-29].  
134 Molecular mimicry between viral and human proteins, immunological intolerance, cytokine  
135 release syndrome or cytokine storm, epitope spreading, bystander activation, and purported  
136 hepatotropism of SARS-CoV-2 are some of the postulated mechanisms for these associations  
137 [22, 30, 31]. However, the concurrent use of drugs, such as antibiotics and statins that can  
138 trigger autoimmunity, are confounding factors, raising questions on the possible causality, thus,  
139 no consensus has been reached [32, 33].

## 140 **5. Autoimmune hepatitis background information relevant to the case definition and** 141 **guidelines on data collection, analysis and presentation**

142 The following sections focus on evidence considered key to constructing the case definition  
143 and developing the associated guidelines.

### 144 **5.1. Epidemiology**

145 AIH, a rare liver disease with a global distribution, affects both sexes and all ages [34]. This  
146 disease mainly affects females, irrespective of age, race or ethnicity, and the female-to-male  
147 ratio may be as high as 10:1 in adults [35, 36].

148 The reported annual incidence of AIH ranges from 0.67 cases per 100,000 persons in Israel to  
149 2.0 cases per 100,000 persons in New Zealand [37, 38]. The reported prevalence of AIH ranges



150 from 4.0 cases per 100,000 persons in Singapore to 42,9 cases per 100,000 persons in Alaska  
151 [35, 39].

152 Pooled annual incidences are 1.31, 1.37, and 1.00 per 100 000 persons for Asian, European,  
153 and American populations, respectively. Pooled prevalences are 12.99, 19.44 and 22.80 per 100  
154 000 persons, respectively [40]. With limited diagnostic capacity and a shortage of medical  
155 specialists, there is a lack data from low- and middle-income countries (LMICs) and the global  
156 incidence and prevalence of AIH is likely underestimated [41].

157 Results from population-based studies in Denmark and in England suggest that the incidence  
158 of AIH is increasing [42, 43]. In Denmark, the incidence increased from 1.37 per 100,000  
159 population in 1994 to 2.33 per 100,000 population in 2014 and in England the incidence  
160 doubled from 1.27 per 100,000 population to 2.56 per 100,000 population from 1997 to 2015.  
161 Although AIH can develop at any age, a bimodal peak of onset has been observed during the  
162 second and sixth decade of life [34].

## 5.2. Risk factors and aetiology

163 AIH is a complex, multifactorial disorder which is thought to develop in genetically  
164 predisposed individuals who encounter one or more triggering factors [44]. A genetic  
165 predisposition involving alleles of the HLA-DRB1 gene is frequently observed in patients with  
166 AIH, particularly DRB1\*03:01 and DRB1\*04:01 in white North Americans and northern  
167 Europeans. However, this genetic association is not disease-specific or always present, and  
168 therefore, it has been suggested that additional HLA and non-HLA associations may be present.  
169 Environmental factors, such as viral infections, dietary deficiencies, toxins, drugs, alcohol,  
170 smoking, ionising radiation, and air pollution are likely to play a role in the aetiology of AIH,  
171 possibly inducing critical epigenetic modifications [44]. In addition, molecular mimicry  
172 between linear or conformational epitopes of environmental pathogens, vaccines, and gut-  
173 derived microbial products may lead to epigenetic modifications which are potential causative  
174 mechanisms of AIH [45].

## 175 **5. 2. Pathophysiology and pathogenesis**

176 AIH is regarded as a model autoimmune disease, but its immunopathogenesis is poorly  
177 understood [46]. AIH arises in persons with immunogenetic susceptibility to autoimmunity.  
178 Hepatocyte autoantigens presented in the antigen-binding grooves of HLA class I and class II  
179 molecules on professional antigen-presenting cells (APCs) activate autoreactive T cell  
180 receptors (TCRs) of CD4 T helper (Th) subsets and CD8 cytotoxic T lymphocytes (CTLs).  
181 Concurrently, different autoantigens bind to B cell immunoglobulin receptors and activate B  
182 cells to secrete autoantibodies. A proinflammatory milieu of cytokines and chemokines  
183 produced by environmental triggers, such as viral infections, xenobiotic exposures, and  
184 dysbiosis of the gut, appear essential for such breaks in self-tolerance to autoantigens. Vaccines  
185 against various agents, including influenza [47, 48], hepatitis A virus (HAV) [49-51], hepatitis  
186 B virus (HBV) [51], human papilloma virus (HPV) [52], yellow fever [50], and diphtheria,  
187 pertussis, and tetanus (DPT) have been implicated as environmental triggers [50, 51]. There has  
188 been a case report of AIH following COVID-19 vaccination [26].

189 Failure of normal immunoregulatory mechanisms to control and terminate the autoreactive  
190 immune response is also necessary for AIH to become progressive [53]. Results from some  
191 studies have suggested that inadequate numbers or dysfunction of induced CD4 regulatory T  
192 cells (iTregs) play predominant roles. Of note, the inhibitory function of autoantigen specific  
193 CD4 iTregs in AIH can also be subverted by cytokine-mediated transformation of CD4 iTregs  
194 into pathogenic CD4 Th17 cells.

195 HLA allelic associations for susceptibility to AIH, as well as other autoimmune diseases,  
196 result from the ability of HLA class I and class II allelic molecules on APCs to bind and present  
197 hepatic autoantigens to T cells [54]. However, genetic risks for AIH are not confined to HLA  
198 alleles, and genetic studies indicate that AIH is a complex genetic disease with multiple HLA  
199 and non-HLA gene polymorphisms and important pigenetic changes [55].

200 Following autoantigen activation and costimulation of CD 4 Th0 cells and CD8 CTLs, the  
201 T cells proliferate and differentiate into fully functional, autoantigen-specific effector cells. The  
202 local cytokine microenvironment dictates whether proliferating CD4 Th cells differentiate into  
203 CD4 Th1, Th2, Th9, T17, iTregs or T follicular helper (Tfh) cell subsets. The dynamic balance  
204 among CD4 Th subsets determines the type, intensity, and duration of local immune responses.  
205 CD4 Th1 cytokines stimulate proliferation of CD4 Th subsets, CD8 CTLs, activate cytotoxic  
206 macrophages and inhibit CD4 Th2 cells. Conversely, CD4 Th2 cytokines increase  
207 immunoglobulin secretion by B cells and inhibit CD4 Th1 cells. CD4 Tfh convert activated B  
208 cells into plasma cells. CD4 Th17 cells disproportionately intensify inflammation and  
209 cytotoxicity. CD4 Th9 cells also increase and sustain inflammation and tissue injury. Finally,  
210 B cells also secrete cytokines and act as APCs to amplify immune responses.

211 Non-autoantigen-specific effector cells also contribute to the pathogenesis of AIH. Mucosal-  
212 associated invariant T (MAIT) cells have invariant TCR $\alpha$  chains that react with vitamin B  
213 antigens processed by gut bacteria presented by major histocompatibility (MHC) class I-related  
214 (MR-1) molecules on APCs. In AIH, MAIT cells congregate in the peribiliary regions of portal  
215 tracts but their roles in the pathogenesis of AIH are undefined. However, MAIT cells can  
216 transdifferentiate to express dual characteristics of CD4 Th1 and CD4 Th17 cells after exposure  
217 to proinflammatory cytokines. The presence of cytotoxic granzyme B granules and the ability  
218 to induce cholangiocyte secretion of cytokines that transform CD4 iTregs into pathogenic CD4  
219 Th17 cells also suggest pathogenic roles. Finally, cytokine-activated macrophages function as  
220 antigen-nonspecific cytotoxic cells.

221 Immunopathogenic mechanisms in AIH culminate in necro-inflammatory destruction of  
222 hepatocytes from the combined effects of cell-mediated, antibody-mediated and cytokine-  
223 mediated cytotoxicity [46]. Cytotoxic effector cells include CD8 CTLs, NK cells, NKT cells,  
224 activated macrophages and, possibly MAIT cells. The pathogenic role of antibody-mediated

225 cytotoxicity in AIH is debated. No direct evidence supports autoantibodies causing direct  
226 cytotoxicity of hepatocytes. However, non-cytotoxic autoantibodies could cause antibody-  
227 dependent cellular cytotoxicity mediated by NK cell Fc receptor engagement with  
228 autoantibodies bound to hepatocytes.

229 The pathophysiological consequences of preferential activation of autoreactive effector cells  
230 without adequate immunoregulatory inhibition include clinical presentations of AIH as acute  
231 liver failure (ALF), severe acute AIH, and chronic hepatitis [56]. Insidious progression may  
232 also result in cirrhosis prior to diagnosis. ALF due to AIH is characterised by extensive  
233 hepatocellular necrosis. Severe acute AIH typically has dense portal lymphoplasmacytic  
234 inflammatory infiltrates, significant interface hepatitis, lobular hepatitis and perivenulitis of the  
235 central veins (Figure 1). AIH patients with chronic hepatitis typically have lymphoplasmacytic  
236 portal inflammation, moderate to severe interface hepatitis, variable amounts of lobular  
237 hepatitis and, infrequently, central perivenulitis (Figure 1). Portal inflammatory infiltrates are  
238 composed of CD4 Th1 cells, CD8 CTLs, B cells, plasma cells, MAIT cells, and innate immune  
239 cells (e.g., activated macrophages, NK and NKT cells). CD8 CTLs, CD4 Th subtypes and  
240 plasma cells infiltrate the hepatic parenchyma in interface hepatitis.

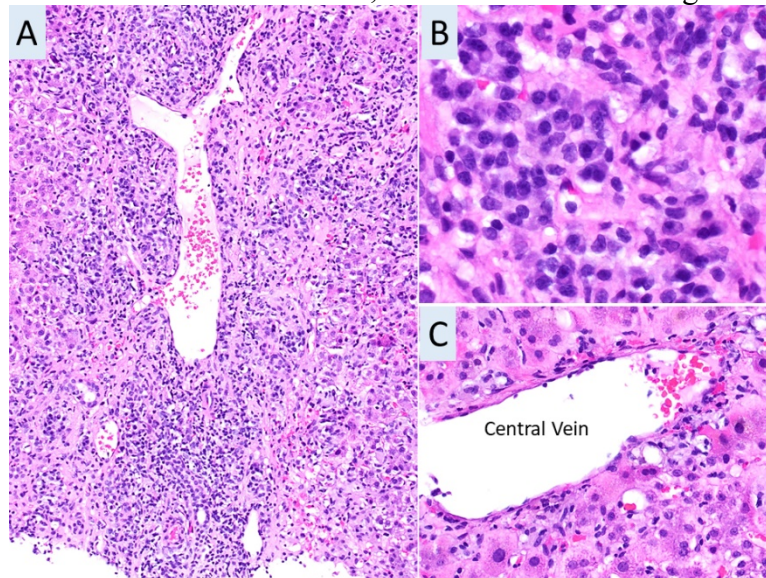
Figure 1. Characteristic histopathological features of autoimmune hepatitis

A. Severe interface hepatitis with lymphoplasmacytic inflammatory infiltrates of the portal tracts extending into the periportal hepatocytes of the hepatic lobule (hematoxylin and eosin, 100X).

B. Clusters of plasma cells (identified by abundant cytoplasmic Golgi) in the lymphoplasmacytic inflammatory infiltrates of a portal tract (hematoxylin and eosin, 400X).

C. Destructive lesion of perivenulitis of a portion of a central vein (hematoxylin and eosin, 200X).

Since none of these histological features are pathognomonic for autoimmune hepatitis, they must be interpreted in the context of clinical, biochemical and serological test results.



*Photomicrographs courtesy of Shilpa Jain, M.D., Department of Pathology, Baylor College of Medicine, Houston, TX, USA*

241

242 AIH is a progressive disease in the absence of effective immunosuppressive treatment. ALF  
243 or severe acute hepatitis may be rapidly lethal (5), and liver transplantation is the only life-  
244 saving option [56]. In chronic AIH, necro-inflammatory destruction of hepatocytes activates  
245 periportal stellate cell differentiation into fibrogenic myofibroblasts. Extension of periportal  
246 fibrosis results in fibroinflammatory bridging between portal tracts and between portal tracts  
247 and central veins. Ultimately, fibrosis transitions to cirrhosis, defined as nodules of regenerating  
248 hepatocytes contained by circumferential fibrosis. Cirrhosis confers new risks for complications  
249 of portal hypertension, hepatocellular carcinoma, and liver failure [57]. Decompensated  
250 cirrhosis, defined by the onset of complications of portal hypertension (I.e., ascites,

251 gastroesophageal bleeding, hepatic encephalopathy, or jaundice), markedly increases risks of  
252 liver-related death and need for liver transplantation.

### 253 **5. 3. Clinical presentation and variations in presentation/forms**

254 The clinical presentation of AIH is heterogeneous, ranging from asymptomatic patients with  
255 chronic, mild elevation of serum liver enzymes to patients presenting with acute liver failure  
256 (ALF) [15]. The majority present with a gradual onset of nonspecific symptoms such as fatigue  
257 and arthralgias. Typically, at presentation, there are no signs of AIH on physical examination  
258 other than those indicative of cirrhosis, when advanced liver disease has already developed. Up  
259 to a third of newly-diagnosed AIH patients report no symptoms at all, though this subgroup of  
260 patients may develop symptoms within 1-3 years. Those with asymptomatic presentation may  
261 have indistinguishable histologic findings compared to patients who present symptomatically  
262 [58]. When AIH is undetected for a prolonged period there is a greater likelihood of cirrhosis  
263 at diagnosis and subsequently a reduced survival over time [59, 60].

264 A subset of patients with AIH present with acute hepatocellular jaundice. This new-onset  
265 jaundice, if accompanied by INR elevation  $\geq 1.5$  and in the absence hepatic encephalopathy, is  
266 termed acute severe AIH [61]. It is important to be aware that several typical features of AIH,  
267 including hypergammaglobulinemia, and ANA positivity, are often absent early in the course  
268 of severe acute AIH [61]. Furthermore, histological features may show prominent central  
269 perivenulitis and centrilobular necrosis with a less prominent or even absent plasma cell-rich  
270 interface hepatitis in the acute phase [62]. A minority of patients with AIH (3-6%) present with  
271 ALF, defined as hepatocellular jaundice with INR  $\geq 2$  and the presence of hepatic  
272 encephalopathy that develops within 26 weeks of the onset of disease in a patient with no  
273 previously recognized liver abnormalities [63]. Patients with acute severe AIH and ALF require  
274 immediate treatment with corticosteroids and close assessment of treatment response to  
275 determine the need for urgent liver transplant evaluation [63, 64].

#### 276 **5. 4. Diagnosis of autoimmune hepatitis and existing case definitions**

277 The diagnosis of AIH requires both a constellation of supportive clinical, biochemical,  
278 serological and histological findings and the exclusion of alternate causes of hepatic  
279 inflammation. There is currently no single pathognomonic diagnostic marker for AIH, however  
280 key features are observed in most cases. These include characteristic histopathological findings  
281 such as interface hepatitis with lymphocytes and plasma cells (Figure 1), elevation of serum  
282 AST and ALT, elevation of serum immunoglobulin G (IgG), and the presence of one or more  
283 autoantibodies with a titter > 1:40 including antinuclear antibody (ANA), smooth muscle  
284 antibody (SMA) or anti-f-actin antibody, anti-liver kidney microsome (LKM-1), or anti-soluble  
285 liver antigen (SLA). Although not a part of formal diagnostic criteria, more than 40% of AIH  
286 patients have a concurrent autoimmune disease or family history of the same, particularly  
287 autoimmune thyroid disease, celiac disease, type 1 diabetes, rheumatoid arthritis, and vitiligo  
288 [17]. AIH has been a traditionally classified on the basis of autoantibodies into AIH into Type  
289 1, characterized by ANA or SMA positive autoantibody, and Type 2, characterized by LKM-1  
290 antibody. However, the clinical importance of these serological subgroups is unclear except in  
291 paediatric populations.

292 In the United States, up to 80% of adults with AIH have detectable ANA [65]. However,  
293 ANA is also commonly detected in patients with several other autoimmune disorders including  
294 systemic lupus erythematosus, in families of patients with autoimmune disease, and in the  
295 general population, and, therefore, it is not diagnostic of AIH in isolation. The presence of more  
296 than one autoantibody (e.g., ANA and SMA) increases the likelihood of AIH, although  
297 histological confirmation is still required for diagnosis. It is relevant to note that 20-30% of  
298 patients with metabolic dysfunction-associated steatotic liver disease (MASLD) may exhibit  
299 non-specific elevation of autoantibodies including ANA and SMA as an epiphenomenon and  
300 not a manifestation of AIH [66]. The performance of the traditional immunofluorescence testing

301 (IFT) on rodent tissue has recently been compared with newer methods such as IFT on human  
302 epithelioma-2 (HEp-2) cells and ELISA-based testing [67].

303 Despite the typical occurrence of elevated ANA, SMA, or anti-LKM1, the absence of these  
304 antibodies has been described in up to 30% of cases, including cases initially classified as  
305 cryptogenic [68]. In such patients, testing for anti-SLA may be particularly useful because it  
306 may be the sole autoantibody detected in up to 20% of patients and therefore is highly specific  
307 for AIH [69]. Even with testing for SLA, however, a significant minority of patients with AIH  
308 are autoantibody negative. The diagnosis of AIH in these seronegative patients can still be made  
309 based on other supporting evidence, particularly histopathologic findings.

310 Between 10-20% of patients with AIH have a normal serum IgG level. Thus, the absence of  
311 IgG elevation does not preclude the diagnosis of AIH. In such instances, the clinical features  
312 are often comparable to those with AIH and IgG elevation. However, a recent study suggested  
313 that IgG-negative patients have a higher likelihood of successful withdrawal of  
314 immunosuppression over time [70]. IgG elevation is not only helpful in the diagnostic process  
315 in most patients, but can be used as a biomarker of treatment response, with normalization of  
316 both ALT and IgG defining a complete biochemical response [71].

317 Although persistent elevations serum of AST and ALT are usually found in patients with  
318 newly diagnosed AIH, the degree of elevation is not a valid indicator of the severity of hepatic  
319 injury or fibrosis, particularly in those with non-acute presentations. Furthermore, a subset of  
320 patients may have significant histological inflammation due to AIH despite normal ALT,  
321 particularly in the setting of cirrhosis [72].

322 The International Autoimmune Hepatitis Group (IAIHG) has developed the most well-  
323 known scoring systems for the diagnosis of AIH. Three iterations have been published to date  
324 including the original (1993) [73], revised (1999) [74], and simplified (2008) [75] diagnostic  
325 systems. The revised original scoring system is more extensive and may be particularly helpful



326 for patients with less typical presentations. The revised scoring system also includes response  
327 to immunosuppression therapy and relapse after immunosuppression withdrawal, as  
328 confirmations of the diagnosis. The simplified scoring system focuses on the core features of  
329 typical AIH patients: autoantibody titers, IgG, histology, and negative tests for viral hepatitis.  
330 It should be noted that neither scoring systems has been prospectively validated. In addition,  
331 these systems were also not designed to differentiate AIH from MASLD, which is currently  
332 relevant globally as both a comorbid liver disease and as a differential diagnosis of AIH.  
333

334 Table 2. A comparison between the revised original (1999) and the simplified (2008) diagnostic  
 335 scoring systems for autoimmune hepatitis [74, 75]

Revised original scoring system		Simplified scoring system		
Feature	Score	Feature	Value	Score
<b>*Female sex</b>	+2	<b>*ANA or SMA</b>	≥1:40 titer	+1
<b>*ALP:AST (or ALT) ratio</b>		<b>*ANA or SMA</b>	≥1:80 titer	
<1.5	+2	<b>or *LKM-1</b>	≥1:40 titer	+2
1.5-3.0	0	<b>or *SLA</b>	Positive	
>3.0	-2			
<b>* Serum globulins or IgG &gt; ULN</b>		<b>*IgG</b>	>ULN	+1
>2.0	+3		>1.1 x ULN	+2
1.5-2.0	+2	<b>*Liver histology</b>	Compatible	+1
1.0-1.5	+1		Typical	+2
<1.0	0	<b>*Negative viral markers</b>	Yes	+2
<b>*ANA, SMA or LKM-1</b>		<b>Diagnostic score:</b>		
>1:80	+3	Probable AIH		≥6
1:80	+2	Definite AIH		≥7
1:40	+1			
<1:40	0			
<b>*Antimitochondrial antibody positive</b>	-4			
<b>*Viral Hepatitis</b>	-3			
Positive	+3			
Negative				
<b>*Drug history (DILI)</b>	-4			
Positive	+1			
Negative				
<b>*Average alcohol intake</b>	+2			
<25 g/day	-2			
>60 g/day				
<b>*Liver histology</b>	+3			
Interface hepatitis	+1			
Predominantly lymphoplasmacytic infiltrate	+1			
Rosetting of liver cells	-5			
None of the above	-3			
Biliary changes	-3			
Other changes	+2			
<b>*Other autoimmune diseases</b>				
<b>*Optional additional parameters</b>	+2			
Other defined autoantibodies	+1			
HLA DRB1*03 or DRB1*04				
<b>*Response to therapy</b>	+2			
Complete	+3			
Relapse				
<b>Pre-treatment score:</b>				
Definite AIH	>15			
Probable AIH	10-15			
<b>Post-treatment score:</b>				
Definite AIH	>17			
Probable AIH	12-17			

336

## 337 **5. 5. Differential diagnosis of autoimmune hepatitis**

338 All other causes of chronic hepatitis must be excluded before diagnosing AIH since its  
339 aetiology is still unknown [76]. Without a pathognomonic test for AIH, an accurate diagnosis  
340 requires exclusion of other causes, as well as indicative clinical, serological, biochemical, and  
341 histological findings [77]. Several factors, such as, viral hepatitis, drug induced liver injury,  
342 alcohol associated hepatitis, metabolic and other autoimmune liver disease, should be  
343 considered in the differential diagnosis.

344 Some studies indicate that hepatitis viruses (hepatitis A, B, C, E), cytomegalovirus, and  
345 Epstein–Barr virus can be initiators of AIH [20, 60, 78]. Postulated pathogenic mechanisms  
346 include molecular mimicry, whereby immune responses to pathogens are pathogenically  
347 redirected towards structurally similar self-antigens and immune presentation of autoantigens  
348 or virally-induced neoantigens from dying hepatocytes [79].

349 Several drugs have been associated with the development of a condition resembling AIH.  
350 Nitrofurantoin and minocycline have been associated with induction of AIH. Other drugs and  
351 herbal remedies have also been occasionally reported to induce AIH , including oxyphenisatin,  
352 ornidazole, methyldopa, diclofenac, interferon, atorvastatin, highly active antiretroviral  
353 treatment, and biologic agents such as infliximab, natalizumab, and adalimumab [80]. At least  
354 three clinical scenarios have been proposed that refers to drug induced autoimmune liver  
355 disease (DAILD) [81]:

- 356 • AIH with drug-induced liver injury (DILI);
- 357 • Drug induced-AIH (DIAIH); and
- 358 • Immune mediated DILI (IM-DILI)

359 The clinical features of drug-induced liver injury are indistinguishable from idiopathic AIH  
360 as both can have positive AIH-related autoantibodies, elevated IgG, as well as similar

361 histopathological findings. In patients who show no clinical improvement, or have progressive  
362 liver injury stopping the suspected drug, a liver biopsy should be considered [82].

363 Products of alcohol metabolism, acetaldehyde, alcohol dehydrogenase, and  
364 malondialdehyde (MDA), can induce autoantibodies in humans and experimental models [83].

365 AIH should be considered in patients with alcohol use, as these patients seem to have worse  
366 prognosis than those with AIH alone. Reliable autoantibody testing and cautious interpretation  
367 of liver histology are essential for AIH diagnosis in these difficult to diagnose patients [84].

368 Wilson's disease (WD) should be considered when investigating chronic liver disease with  
369 negative viral serologies and if the patient only partially responds to initial therapy with  
370 prednisone [85]. Alpha-1-antitrypsin (A1AT) deficiency may cause a chronic pattern of hepatic  
371 injury. It is not uncommon to have co-existing heterozygous A1AT deficiency in patients with  
372 other liver diseases, such as viral hepatitis, AIH, or alcohol abuse [86]. Hemochromatosis, a  
373 genetic disease of iron metabolism, can cause asymptomatic elevation of liver transaminase  
374 levels due to iron deposition in the liver. Initial testing should include serum iron and ferritin  
375 levels, and total iron-binding capacity [87].

376 Autoimmune liver diseases may coexist or develop in patients with other chronic liver  
377 disease. A very small proportion of patients with AIH may show prominent cholestatic features,  
378 suggesting coexistent overlapping primary biliary cholangitis (PBC) or primary sclerosing  
379 cholangitis (PSC) [80].

380 It is not uncommon for AIH patients to have other extrahepatic autoimmune conditions [88].  
381 The association of AIH with celiac disease (CD) is well established, and individuals with AIH  
382 have a higher prevalence of CD compared with the general population [88, 89]. Thyroid  
383 dysfunction is also more prevalent in patients with AIH than in healthy individuals [90].  
384 However, it is unclear if AIH is caused by thyroid dysfunction or vice versa. Patients diagnosed  
385 with AIH should be screened for thyroid dysfunction.

386 **6. Rationale for Working Group decisions about the case definition of autoimmune**  
387 **hepatitis**

388 **6.1. Formulating a case definition that reflects diagnostic certainty**

389 The case definition, which is applicable for adult, adolescent and paediatric populations, has  
390 been developed so that the Level 1 definition is highly specific for AIH. Since high specificity  
391 usually results in sensitivity loss, two additional diagnostic levels have been included in the  
392 definition. To capture all cases of AIH, an acceptable level of specificity at all levels was  
393 maintained, despite stepwise increases of sensitivity from Level 1 down to Level 3. This is  
394 shown in Table 3 and the pictorial algorithm in Figure 2.

395 **6.2. Rationale for selected decisions about the case definition for autoimmune hepatitis**  
396 **as an adverse event of special interest following immunisation**

397 The Level 1 classification can be reached by presence of characteristic liver histology, serum  
398 biochemical tests (including ALT or AST, and IgG above their upper limits of normal (ULN),  
399 presence of one or more autoimmune antibodies and assessment by a medical specialist (e.g.,  
400 hepatologist, gastroenterologist) to exclude alternative diagnoses with similar features. The  
401 Working Group determined that expertise in conducting a proper evaluation and excluding  
402 alternative diagnosis for the illness are necessary to establish a Level 1 diagnosis.

403 The difference between Level 1 and Level 2 classifications is that the IgG can be within  
404 normal limits. It should be noted that in some settings, including acute presentations in  
405 paediatric patients and in a subset of adult patients with AIH, serum IgG can be persistently  
406 normal.

407 An important distinction between Level 2 and Level 3 is either negative autoantibody test  
408 results or absence of results due to the inability to perform autoantibody testing [91]. Therefore,  
409 Level 3 of diagnostic certainty requires the presence of characteristic or atypical liver histology  
410 and elevated serum ALT or AST (above the ULN), while IgG may be within normal limits or

411 above the ULN, negative results or inability to perform testing for autoimmune antibodies and  
412 assessment by a non-specialist medical professional to rule out alternative diagnoses.

413 Level 4 is met when the AIH Levels 1-3 have not been met. Level 4 signifies a reported AIH  
414 case with insufficient evidence to meet the case definition. This may include reports which  
415 document AIH without a description of any relevant tests or exclusion of alternative diagnosis  
416 for illness. Level 5 is met when the AEFI is definitely 'not a case of AIH'. This is to be applied  
417 when sufficient information has been provided for review and an alternate diagnosis is clearly  
418 present.

419 Alternative diagnoses for AIH can include viral hepatitis (which is the most common,  
420 including hepatitis A, B, C, E, Epstein Barr or cytomegalovirus), drug-induced liver injury,  
421 alcohol-associated hepatitis, metabolic liver diseases, including Wilson's disease, Alpha-1-  
422 antitrypsin deficiency, hereditary hemochromatosis and iron overload, and autoimmune liver  
423 diseases like celiac disease, primary biliary cholangitis (PBC), and primary sclerosing  
424 cholangitis (PSC).

### 425 **6. 3. Rationale for individual criteria or decisions made related to the case definition**

#### 426 **6. 3. 1. Diagnostic testing**

427 A medical professional must assess test results to exclude possible alternative diagnoses. It  
428 is important to use standardised diagnostic tests. The specific tests possible for viral hepatitis  
429 are described in the case definition (Table 3).

#### 430 **6. 3. 2. Pathology, radiology, and laboratory findings**

431 The Working Group established that both histopathology and laboratory testing are  
432 necessary to establish a diagnosis of AIH, as described in the case definition. No radiographic  
433 or imaging tests are required.

434 **6. 3. 3. Influence of treatment on fulfilment of case definition**

435 The Working Group decided against including response to immunosuppression as a  
436 diagnostic criterion for the AIH case definition because a substantial response to  
437 immunosuppression is not always observed in AIH.

438 **6. 3. 4. Timing post immunisation**

439 For case definitions to be a suitable tool for assessing causality, the ascertainment of the  
440 outcome (i.e., AIH) needs to be independent of the exposure (e.g., immunisation). In addition,  
441 AIH often occurs outside the controlled setting of a clinical trial, where it might be difficult to  
442 obtain a clear course for the event. To avoid selection bias, a restrictive time interval from  
443 immunisation to onset of AIH should not be an integral part of the case definition. Where  
444 feasible, details of this interval should be assessed and reported as described in the data  
445 collection guidelines. (Appendix A).

446 **6. 4. Considerations for limited resource settings**

447 Lack of access to and availability of diagnostic procedures and testing, and medical  
448 specialists significantly diminishes the ability to meet the AIH case definition criteria in certain  
449 clinical or surveillance settings. Because the diagnostic criteria required to meet the AIH case  
450 definition includes liver histology and serum biochemical testing at all levels of certainty,  
451 implementation is more feasible in clinical and surveillance settings in major metropolitan areas  
452 or in well-funded private institutions. Despite these limitations, the AIH Working Group  
453 strongly endorsed the need for liver histology and serum biochemical testing for Levels 1-3  
454 because of the need to exclude for other possible diagnoses that can mimic AIH. The AIH  
455 Working Group also considered the global variability in clinical practice and availability of  
456 autoimmune serological testing required to meet Levels 1 and 2 of certainty and included  
457 inability to perform autoimmune serological testing to meet Level 3 of certainty. Identification

458 of a pathognomonic biomarker for AIH will be required for new case definitions that are  
459 applicable for surveillance implementation in low- and middle-income countries.

## 460 **6. 5. Considerations for special populations**

### 461 **6. 5. 1. Paediatric populations**

462 AIH in children has many unique aspects compared to adults. The prevalence of AIH in  
463 children is much lower than in adults, with a frequency of ~ 3 cases per 100,000 people [92].  
464 The proportion of children with seronegative AIH is also high, ranging from 15% to 30% of all  
465 paediatric cases [92]. Absence of autoantibody positivity makes the diagnosis of AIH more  
466 challenging. In contrast, the frequency of type 2 AIH (anti-LKM or anti-liver cytosol positivity)  
467 is much higher in children, especially those who present at a younger age with ALF or severe  
468 acute hepatitis [79].

### 469 **6. 5. 2. Pregnant women**

470 The onset of AIH presenting during pregnancy or postpartum is very rare, yet ALF has been  
471 reported. Most pregnant women with pre-existing AIH have a more indolent course. However,  
472 some women experience a flare of AIH while pregnant, and those with cirrhosis have an  
473 increased risk of complications of portal hypertension due to increased blood volumes and  
474 cardiac output in pregnancy [93]. It is important to confirm that AIH is the correct diagnosis,  
475 and to consider other liver diseases occurring in pregnancy, such as acute viral hepatitis,  
476 thrombotic liver disease, intrahepatic cholestasis of pregnancy, acute fatty liver of pregnancy  
477 and HELLP syndrome (hemolysis, elevated liver tests, low platelets) [94].

### 478 **6. 5. 3. Immunodeficiency populations**

479 The majority of immunodeficiencies associated with the development of AIH are due to  
480 genetic defects [92]. Autoimmune polyendocrinopathy-candidiasis-ectodermal dystrophy  
481 syndrome results from mutations in the AIRE gene and up to 20% of these patients will develop  
482 AIH. Immunodysregulation polyendocrinopathy enteropathy X-linked syndrome, caused by



483 FOXP3 mutations, results in deficient functioning of Tregs with multi-system autoimmunity,  
484 including AIH. Common variable immunodeficiency can cause an autoimmune phenotype. It  
485 is essential to have a high index of suspicion for an underlying immunodeficiency in the setting  
486 of AIH with other concurrent autoimmune diseases or recurrent infections.

#### 487 **6. 6. Definition of selected criterion terms**

#### 488 **7. Brighton Collaboration case definition of autoimmune hepatitis**

489 The case definition is summarised in Table 3 and Figure 2.

490 AIH is a clinical syndrome characterised by inflammatory liver disease. There is no single  
491 or unique diagnostic biomarker for AIH. The AIH Working Group considered that a  
492 characteristic liver histology is required to meet Levels 1 and 2 of certainty and that a  
493 characteristic or atypical liver histology is required to meet Levels 3.

494

495 **Table 3. Autoimmune hepatitis in adults and children case definition and levels of**  
 496 **diagnostic certainty**

497 Autoimmune Hepatitis (AIH) is an inflammatory liver disease. There is no single/unique  
 498 diagnostic biomarker for AIH. Therefore, diagnosis is based on a combination of  
 499 histopathology, biochemical and serological testing, and exclusion of other diagnosis that  
 500 exhibit similar features

<b>Level of certainty 1 (Definitive case)</b>
<p>1. Presence of characteristic liver histology<sup>a</sup></p> <p><b>AND</b></p> <p>2. Serum biochemical tests            Presence of both of the following</p> <ul style="list-style-type: none"> <li>• Serum alanine aminotransferase (ALT) or aspartate aminotransferase (AST) above the upper limit of normal (ULN)<sup>b</sup></li> <li>• Immunoglobulin G (IgG) above the ULN<sup>b</sup></li> </ul> <p><b>AND</b></p> <p>3. Autoimmune serological tests            Presence of 1 or more of the following<sup>c</sup></p> <ul style="list-style-type: none"> <li>• ANA (antinuclear antibodies)</li> <li>• Anti-SMA (smooth muscle antibodies)</li> <li>• Anti-LKM1 (antibodies to liver-kidney microsome type 1)</li> <li>• Anti-SLA (antibodies to soluble liver antigen)</li> </ul> <p><b>AND</b></p> <p>4. Assessment by a medical specialist (e.g., hepatologist, gastroenterologist) to exclude alternative diagnosis for illness<sup>d</sup></p>
<b>Level of certainty 2 (Probable case)</b>
<p>1. Presence of characteristic liver histology<sup>a</sup></p> <p><b>AND</b></p> <p>2. Serum biochemical tests            Presence of both of the following</p> <ul style="list-style-type: none"> <li>• Serum alanine aminotransferase (ALT) or aspartate aminotransferase (AST) above the ULN<sup>b</sup></li> <li>• Immunoglobulin G (IgG) within normal limits<sup>b</sup></li> </ul> <p><b>AND</b></p> <p>3. Autoimmune serological tests            Presence of 1 or more of the following<sup>c</sup></p> <ul style="list-style-type: none"> <li>• ANA (antinuclear antibodies)</li> </ul>

- Anti-SMA (smooth muscle antibodies)
- Anti-LKM1 (antibodies to liver-kidney microsome type 1)
- Anti-SLA (antibodies to soluble liver antigen)

**AND**

4. Assessment by a medical specialist (e.g. hepatologist, gastroenterologist) to exclude alternative diagnosis for illness<sup>d</sup>

**Level of certainty 3 (Possible case)**

3A. 1. Presence of characteristic or atypical liver histology<sup>a</sup>

**AND**

2. Serum biochemical tests

Presence of both of the following

- Serum alanine aminotransferase (ALT) or aspartate aminotransferase (AST) above the ULN<sup>b</sup>
- Immunoglobulin G (IgG) within normal limits, or above the ULN<sup>b</sup>

**AND**

2. Autoimmune serological tests

Negative results or inability to perform the following testing<sup>c</sup>

- ANA (antinuclear antibodies)
- Anti-SMA (smooth muscle antibodies)
- Anti-LKM1 (antibodies to liver-kidney microsome type 1)

**AND**

3. Assessment by a medical professional to exclude alternative diagnosis for illness<sup>d</sup>

**Level of certainty 4**

Insufficient information available to meet any level of certainty of autoimmune hepatitis

**Level of certainty 5**

Sufficient information provided for review and classified as not a case of autoimmune hepatitis

*Notes*

a. Characteristic liver histology shows interface hepatitis and lymphocytes and plasma cell infiltration of the liver. Perivenulitis of the central vein may be a prominent lesion in acute severe AIH cases.

Atypical histology shows interface hepatitis and lymphocytes infiltration in the absence of plasma cells.

b. The upper limit of normal ranges is detailed in the table below:

**Upper Limit of Normal (ULN) Values\***

<b>ALT (alanine transaminase)/ SGPT (serum glutamate pyruvate transaminase)/</b>	
Normal levels (units per litre)	
Adults: 7-56 U/L Women: 7-35 U/L Men: 7-40 U/L Children: 5-45 U/L	
<b>AST (aspartate aminotransferase)/ SGOT (serum glutamic oxaloacetic transaminase /</b>	
Adults: 5-40 U/L Males: 10-40 U/L Females: 9-32 U/L Children: 10-40 IU/L	
<b>Immunoglobulin G (IgG)</b>	
Age	Normal levels (g/L/mg/dL)
Up to 2 weeks	5.0 – 17.0/ 500–1700
2 – 4 weeks	3.9 – 13.0/ 390–1300 mg/dl
1 – 3 months	2.1 – 7.7/ 210-770
3 – 6 months	2.4 – 8.8/240-880
6 – 9 months	3.0 – 9.0/ 300-900
9 – 12 months	3.0 – 10.9/300-1090
1 – 2 years	3.1 – 13.8/310-1380
2 – 3 years	3.7 – 15.8/370-1580
3 – 6 years	4.9 – 16.1/490-1610
6 – 15 years	5.4 – 16.1/540-1610
16 years and older	6.0 – 16.0/600-1600

\*Normal value ranges may vary slightly among different laboratories

c. ANA (antinuclear antibodies) is seen in approx. 60-70% of AIH, Anti-SMA (smooth muscle antibodies) in up to 85% of AIH and Anti-LKM1 (antibodies to liver-kidney microsome type 1) in approx. 70% of AIH-2. Rarely other antibodies are seen including Anti-LC-1 (anti-liver cytosol -1 antibody) in 30% AIH-2, anti-SLA/LP (anti-soluble liver antigen/liver pancreas antibodies) in 20–30% AIH-1 and AIH-2, anti-LKM3 (anti-liver-kidney microsomal antibody type 3) in 20–30% of paediatric case sand up to 10% of adult AIH cases [91].

d. Negative results for appropriate testing for alternative diagnosis as determined by the medical professional, such as

- Viral hepatitis (*common*)
  - Hepatitis A (IgM anti-HAV)
  - Hepatitis B (HBsAg, total anti-HBc, anti-HBs)
  - Hepatitis C (anti-HCV ab, HCV RNA PCR)
  - Hepatitis E (IgM/IgG anti-HEV RNA PCR)
  - Epstein Barr
  - Cytomegalovirus (CMV)
- Drug-induced liver injury (*common*)
- Alcohol-associated hepatitis (*common*)
- Metabolic liver diseases: Wilson’s disease, Alpha-1-antitrypsin deficiency, hereditary hemochromatosis, iron overload (*less common*)
- Other autoimmune liver diseases: primary biliary cholangitis (PBC), primary sclerosing cholangitis (PSC), Celiac syndrome) (*less common*)

502

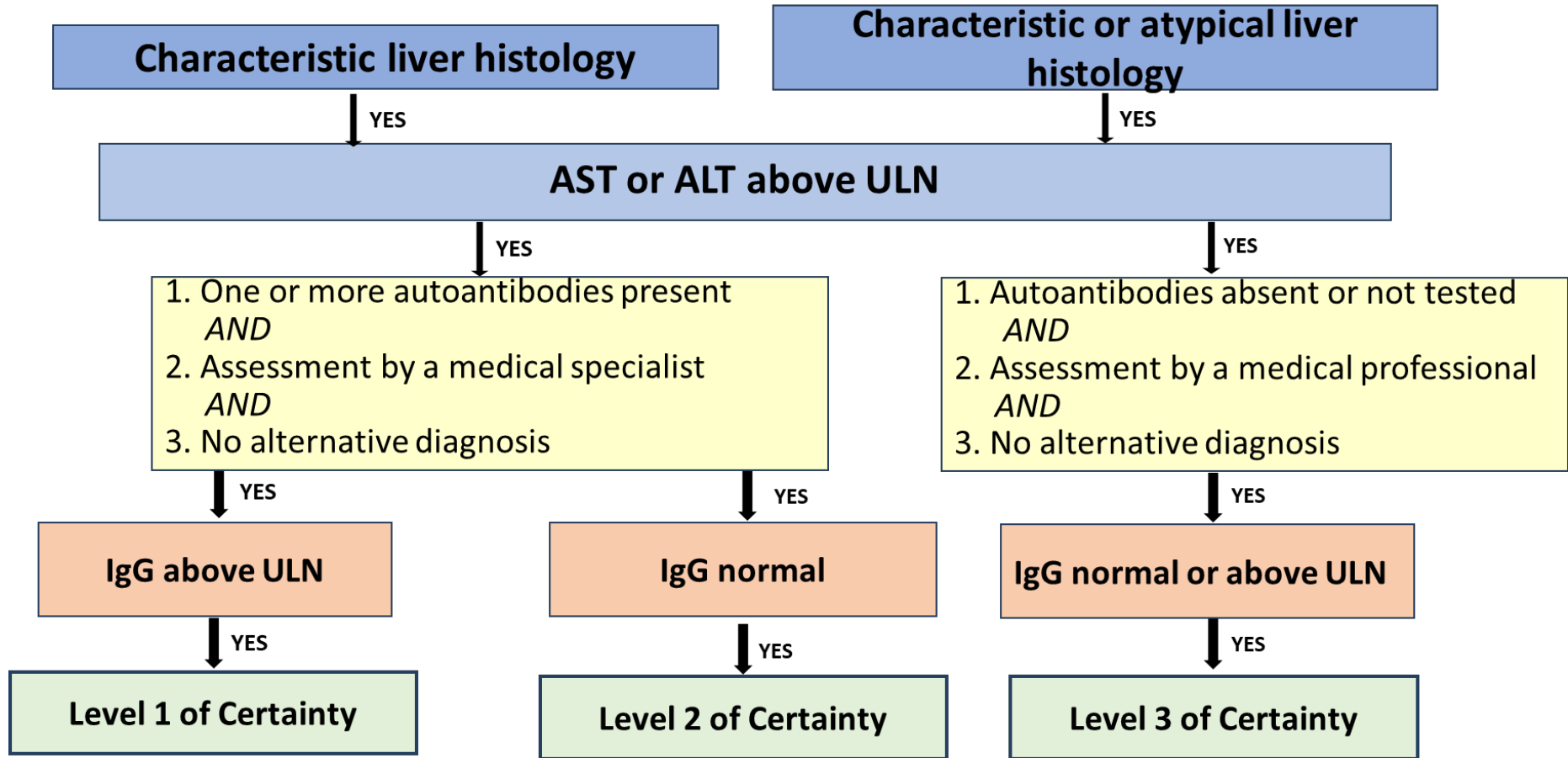
503

### Glossary

Interface hepatitis	Death of hepatocytes at the interface of the hepatic parenchyma and the portal zone connective tissue, accompanied by a variable degree of inflammation and fibrosis
Perivenulitis	Inflammatory lesions involving the perivenular regions of the liver parenchyma

504

505 Figure 2. Pictorial algorithm for autoimmune hepatitis in adults and children levels of certainty



506

507

508 **8. Guidelines for data collection, analysis and presentation specific to autoimmune**  
509 **hepatitis**

510 Brighton Collaboration guidelines for data collection, analysis and presentation of safety  
511 data accompany the case definition. These are structured according to the steps of conducting  
512 a clinical trial, i.e., data collection, analysis and presentation. The case definition and the  
513 guidelines were developed to improve case ascertainment and data comparability in  
514 epidemiological, observational or interventional research. They are not intended to establish  
515 criteria or guide the clinical management of infants, children, or adults with AIH.

516 **8.1. Data collection**

517 A case report form specific to the criteria needed to fulfil the AIH case definition can be  
518 found in Supplementary material.

519 To ensure that data on key case definition are collected in comparable fashion the working  
520 group recommends the following

521 Guidelines numbers 1-43 below have been developed to address data elements for the  
522 collection of adverse event information as specified in general drug safety guidelines by the  
523 International Conference on Harmonization of Technical Requirements for Registration of  
524 Pharmaceuticals for Human Use,<sup>1</sup> and the form for reporting of drug adverse events by the  
525 Council for International Organizations of Medical Sciences.<sup>2</sup> These data elements include an  
526 identifiable reporter and patient, one or more prior immunisations, and a detailed description of  
527 the adverse event of AIH following immunisation. The additional guidelines have been  
528 developed as guidance for the collection of additional information to allow for a more  
529 comprehensive understanding of AIH following immunisation.

530 **Source of information/reporter**

531 For all cases and/or all study participants, as appropriate, the following information should  
532 be recorded:

- 533 1) Date of report.
- 534 2) Name and contact information of person reporting and/or diagnosing the AIH as  
535 specified by country-specific data protection law.
- 536 3) Name and contact information of the investigator responsible for the subject, as  
537 applicable.
- 538 4) Relation to the patient (e.g., immunizer [clinician, nurse], family member [indicate  
539 relationship], other).

540 **Vaccinee or control**

541 **Demographics**

542 For all cases or study participants, as appropriate, the following information should be recorded:

- 543 5) Case/study participant identifiers (e.g., first name initial followed by last name initial)  
544 or code (or in accordance with country-specific data protection laws).
- 545 6) Date of birth, age, and sex.
- 546 7) For infants: gestational age and birth weight.

547 **Clinical and immunisation history**

548 For all cases or study participants, as appropriate, the following information should be recorded:

- 549 8) Past medical history, including hospitalizations, underlying diseases/disorders, pre-  
550 immunisation signs and symptoms including identification of indicators for, or the absence of,  
551 a history of allergy to vaccines, vaccine components or medications; food allergy; allergic  
552 rhinitis; eczema; asthma.
- 553 9) Any medication history (other than treatment for the event described) prior to, during,  
554 and after immunisation including prescription and non-prescription medication as well as  
555 medication or treatment with long half-life or long-term effect. (e.g., immunoglobulins, blood  
556 transfusion and immunosuppressants).



557 10) immunisation history (i.e., previous immunisations and any adverse event following  
558 immunisation (AEFI)), in particular occurrence of AIH after a previous immunisation.

559 **Details of the immunisation**

560 For all cases or study participants, as appropriate, the following information should be recorded:

561 11) Date and time of immunisation(s).

562 12) Description of vaccine(s) (name of vaccine, manufacturer, lot number, dose (e.g.,  
563 0.25mL, 0.5 mL) and number of dose if part of a series of immunisations against the same  
564 disease).

565 13) The anatomical sites (including left or right side) of all immunisations (e.g., vaccine A  
566 in proximal left lateral thigh, vaccine B in left deltoid).

567 14) Route and method of administration (e.g., intramuscular, intradermal, subcutaneous,  
568 and needle-free (including type and size), other injection devices).

569 15) Needle length and gauge.

570 **The adverse event**

571 16) For all cases at any level of diagnostic certainty and for reported events with insufficient  
572 evidence, the criteria fulfilled to meet the case definition should be recorded.

573 The following should be specifically documented:

574 17) Clinical description of signs and symptoms of AIH, and if there was medical  
575 confirmation of the event (i.e., patient seen by specialist or other physician or qualified  
576 healthcare provider).

577 18) Date/time of onset<sup>3</sup>, first observation<sup>4</sup> and diagnosis<sup>5</sup>, end of episode<sup>6</sup> and final  
578 outcome<sup>7</sup>.

579 19) Concurrent signs, symptoms, and diseases.

580 20) Measurement/testing:

581 • values and units of routinely measured parameters (e.g., temperature, blood pressure) –

- 582 in particular those indicating the severity of the event;
- 583 • method of measurement (e.g., type of thermometer, oral or other route, duration of  
584 measurement);
- 585 • results of laboratory examinations, histological findings and diagnoses, if present.
- 586 21) Treatment given for AIH, in particular, specify what treatment, dose and duration.
- 587 22) Outcome<sup>7</sup> at last observation.
- 588 23) Objective clinical evidence supporting classification of the event as 'serious'<sup>8</sup>.
- 589 24) Exposures other than the immunisation 24 hours before and after immunisation (e.g.,  
590 food, environmental) considered potentially relevant to the reported event.
- 591 **8. 2. Recommended duration of surveillance for <EVENT>**
- 592 25) The duration of surveillance for AIH should be predefined based on:
- 593 • biologic characteristics of the vaccine e.g., live attenuated versus inactivated component  
594 vaccines;
- 595 • biologic characteristics of the vaccine-targeted disease;
- 596 • biologic characteristics of AIH, including patterns identified in previous trials (e.g.,  
597 early-phase trials); and
- 598 • biologic characteristics of the vaccinee (e.g., underlying disease, presence of risk  
599 factors).
- 600 26) The duration of follow-up reported during the surveillance period should also be  
601 predefined. It should aim to continue until resolution of the event.
- 602 27) Methods of data collection should be consistent within and between study groups, if  
603 applicable.
- 604 28) Follow-up of cases should attempt to verify and complete the information collected as  
605 outlined in data collection guidelines 1 to 24.

606 29) Investigators of patients with AIH should provide guidance to reporters to optimize the  
607 quality and completeness of information provided.

608 30) Reports of AIH should be collected throughout the study period regardless of the time  
609 elapsed between immunisation and the adverse event. If this is not feasible due to the study  
610 design, the study periods during which safety data are being collected should be clearly defined.

### 611 **8. 3. Recommended duration of follow-up for autoimmune hepatitis**

### 612 **8. 4. Data analysis**

613 The following guidelines represent a desirable standard for analysis of data on AIH to allow for  
614 comparability of data, and are recommended as an addition to data analyzed for the specific  
615 study question and setting.

#### 616 **8. 4. 1. Case classification- As shown in Section 5 each case can and should be classified** 617 **as falling into one of ‘n’ categories:**

618 31) Reported events should be classified in one of the following five categories including  
619 the three levels of diagnostic certainty as specified in the case definition. Events that do not  
620 meet the case definition should be classified in the additional categories for analysis.

#### 621 *Event classification in five categories<sup>9</sup>*

622 *Event meets case definition*

623 Level 1: Criteria as specified in the AIH case definition

624 Level 2: Criteria as specified in the AIH case definition

625 Level 3: Criteria as specified in the AIH case definition

626 *Event does not meet case definition*

627 Additional categories for analysis

628 Level 4: Reported case of AIH with insufficient evidence to meet the case definition<sup>10</sup>

629 **8. 4. 2.Level 5: Not a case of AIH**

630 **8. 4. 3. Interval from immunisation to autoimmune hepatitis**

631 32) The interval between immunisation and reported AIH could be defined as the date and  
632 time of immunisation to the date and time of onset<sup>3</sup> of the first symptoms or signs consistent  
633 with the definition. If few cases are reported, the concrete time course could be analyzed for  
634 each. If a large number of cases, data can be analyzed using the following intervals:

635 **Patients with AIH by interval to presentation**

Interval	Number (%)
< 2 weeks after immunisation	
2 - < 6 weeks after immunisation	
6 - < 12 weeks after immunisation	
>12 week after immunisation	
TOTAL	

636

637 33) The duration of a possible AIH could be analyzed as the interval between the date/time  
638 of onset<sup>Error! Bookmark not defined.</sup> of the first symptoms and/or signs consistent with the definition  
639 and the end of episode<sup>6</sup> and/or final outcome<sup>7</sup>. Whatever start and ending dates/times are used,  
640 they should be used consistently within and across study groups.

641 34) If more than one measurement of a particular criterion is taken and recorded, the value  
642 corresponding to the greatest magnitude of the adverse experience could be used as the basis  
643 for analysis. Analysis may also include other characteristics like qualitative patterns of criteria  
644 defining the event.

645 35) The distribution of data (such as numerator and denominator data) could be analyzed in  
646 predefined increments (e.g., measured values, times), where applicable. Increments specified

647 above should be used. When only a small number of cases is presented, the respective values  
648 or time course can be presented individually.

649 36) Data on AIH obtained from subjects receiving a vaccine should be compared with those  
650 obtained from an appropriately selected and documented control group(s) to assess background  
651 rates of hypersensitivity in non-exposed populations, and should be analyzed by study arm and  
652 dose where possible, e.g., in prospective clinical trials.

### 653 **8. 5. Data presentation**

654 These guidelines represent a desirable standard for the presentation and publication of data on  
655 AIH following immunisation to allow for comparability of data, and are recommended as an  
656 addition to data presented for the specific study question and setting. Additionally, it is  
657 recommended to refer to existing general guidelines for the presentation and publication of  
658 randomized controlled trials, systematic reviews, and meta-analyses of observational studies in  
659 epidemiology (e.g., statements of Consolidated Standards of Reporting Trials (CONSORT), of  
660 Improving the quality of reports of meta-analyses of randomized controlled trials (QUORUM),  
661 and of Meta-analysis Of Observational Studies in Epidemiology (MOOSE), respectively)<sup>11</sup>.

662 37) All reported events of AIH should be presented according to the categories listed in  
663 guideline 31.

664 38) Data on possible AIH events should be presented in accordance with data collection  
665 guidelines 1-24 and data analysis guidelines 31-36.

666 39) Terms to describe AIH such as 'low-grade', 'mild', 'moderate', 'high', 'severe' or  
667 'significant' are highly subjective, prone to wide interpretation, and should be avoided, unless  
668 clearly defined.

669 40) Data should be presented with numerator and denominator (n/N) (and not only in  
670 percentages), if available.

671 Although denominator data are usually not readily available in immunisation safety  
672 surveillance systems, attempts should be made to identify approximate denominators. The  
673 source of the denominator data should be reported and calculations of estimates should be  
674 described (e.g., manufacturer data on total doses distributed, reporting by ministry of health,  
675 coverage/population-based data).

676 41) The incidence of cases in the study population should be presented and clearly identified  
677 as such in the text.

678 42) If the distribution of data is skewed, medians and ranges are usually more appropriate  
679 statistical descriptors than means. However, the means and standard deviations should also be  
680 provided.

681 43) Any publication of data on AIH should include a detailed description of the methods  
682 used for data collection and analysis as possible. It is essential to specify:

- 683 • the study design;
- 684 • the method, frequency and duration of monitoring for AIH;
- 685 • the trial profile, indicating participant flow during a study including drop-outs and  
686 withdrawals to indicate the size and nature of the respective groups under investigation;
- 687 • the type of surveillance (e.g., passive or active surveillance);
- 688 • the characteristics of the surveillance system (e.g., population covered, mode of report  
689 solicitation);
- 690 • the search strategy in surveillance databases;
- 691 • comparison group(s), if used for analysis;
- 692 • the instrument of data collection (e.g., standardized questionnaire, diary card, report  
693 form);
- 694 • clear indication if the day of immunisation was considered 'day one' or 'day zero' in the  
695 analysis;

- 696       • if the date of onset<sup>3</sup> or the date of first observation<sup>4</sup> or the date of diagnosis<sup>5</sup> were used  
697       for analysis; and
- 698       • use of this case definition for AIH, in the abstract or methods section of a publication<sup>12</sup>.
- 699

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<sup>1</sup> ICH. Post-approval safety data management: definitions and standards for expedited reporting E2D 2003 Available from: [https://database.ich.org/sites/default/files/E2D\\_Guideline.pdf](https://database.ich.org/sites/default/files/E2D_Guideline.pdf). [Last accessed: 16 December 2021]

<sup>2</sup> CIOMS. Available from: [https://cioms.ch/wp-content/uploads/2019/11/Fillable-Form\\_CIOMS-to-E2B.pdf](https://cioms.ch/wp-content/uploads/2019/11/Fillable-Form_CIOMS-to-E2B.pdf). [Last accessed 16 December 2021]

<sup>3</sup> The date or time of onset is defined as the time post immunization, when the first sign or symptom indicative for anosmia occurred. This may only be possible to determine in retrospect.

<sup>4</sup> The date or time of first observation of the first sign or symptom indicative for anosmia can be used if date/time of onset is not known.

<sup>5</sup> The date of diagnosis of an episode is the day post-immunization when the event met the case definition at any level.

<sup>6</sup> The end of an episode is defined as the time the event no longer meets the case definition at the lowest level of the definition.

<sup>7</sup> e.g., recovery to pre-immunization health status, spontaneous resolution, therapeutic intervention, persistence of the event, sequelae, death.

<sup>8</sup> An AEFI is defined as serious by international standards if it meets one or more of the following criteria: 1) results in death, 2) is life-threatening, 3) requires inpatient hospitalization or results in prolongation of existing hospitalization, 4) results in persistent or significant disability or incapacity, 5) is a congenital anomaly/birth defect, 6) is a medically important event or reaction.

<sup>9</sup> To determine the appropriate category, the user should first establish, whether a reported event meets the criteria for the lowest applicable level of diagnostic certainty, e.g., Level three. If the lowest applicable level of diagnostic certainty of the definition is met, and there is evidence that the criteria of the next higher level of diagnostic certainty are met, the event should be classified in the next category. This approach should be continued until the highest level of diagnostic certainty for a given event could be determined. Major criteria can be used to satisfy the requirement of minor criteria. If the lowest level of the case definition is not met, it should be ruled out that any of the higher levels of diagnostic certainty are met and the event should be classified in additional categories four or five.

<sup>10</sup> If the evidence available for an event is insufficient because information is missing, such an event should be categorized as 'Reported case of anosmia with insufficient evidence to meet the case definition' (Level 4).

<sup>11</sup> Available from: <https://www.equator-network.org/>

<sup>12</sup> Use of this document should preferably be referenced by referring to the link on the Brighton Collaboration website (<https://brightoncollaboration.us/>)

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704 **Declarations of interest**

705 CM declares participation in advisory boards for Mirum

706 SK, DNA, HSI, LM, AM, DN, JG, EB and JV declare no conflicts of interest.

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982

**Supplementary material. Autoimmune hepatitis data abstraction form**

**Autoimmune hepatitis**

**Surveillance Officer ID:**

**Hospital/admission ID:**

**AESI record ID:** \_\_\_\_\_

**Date of record:** \_\_\_/\_\_\_/\_\_\_\_ (DD/MM/YYYY)

*Please fill/check the following information obtained from chart review:*

<b>Line</b>		<b>Yes</b>	<b>No</b>	<b>Test not done <u>OR</u> insufficient information</b>
<b>1</b>	<p><b>Presence of an alternative diagnosis:</b></p> <p>If YES, check all that apply:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Viral hepatitis including Hepatitis A, Hepatitis B, Hepatitis C, Hepatitis Epstein Barr and cytomegalovirus<sup>a</sup></li> <li><input type="checkbox"/> Drug induced liver injury</li> <li><input type="checkbox"/> Alcohol-associated hepatitis</li> <li><input type="checkbox"/> Metabolic liver disease including Wilson’s disease, Alpha-1-antitrypsin deficiency, hereditary hemochromatosis, iron overload</li> <li><input type="checkbox"/> Other autoimmune liver diseases: primary biliary cholangitis (PBC), primary sclerosing cholangitis (PSC), Celiac syndrome)</li> <li><input type="checkbox"/> Other</li> </ul> <p>Specify:</p> <p>_____</p>			

Line		Yes	No	Test not done <u>OR</u> insufficient information
<b>2</b>	<b>Liver histology</b>			
3	Characteristic histology (interface hepatitis (death of hepatocytes at the interface of the hepatic parenchyma and the portal zone connective tissue, accompanied by a variable degree of inflammation and fibrosis) and lymphocytes and plasma cell infiltration of the liver. Perivenulitis (Inflammatory lesions involving the perivenular regions of the liver parenchyma) of the central vein may be a prominent lesion in acute severe AIH cases)			
4	Atypical histology (interface hepatitis and lymphocytes infiltration in the absence of plasma cells)			
<b>5</b>	<b>Serum biochemical tests</b>			
6	Alanine aminotransferase (ALT) above the upper limit of normal (ULN)			
7	Aspartate aminotransferase (AST) above the ULN			
8	Immunoglobulin G (IgG) above the ULN			
9	Immunoglobulin G (IgG) within normal limits			
<b>10</b>	<b>Serological tests confirming presence of autoantibodies<sup>b</sup></b>			
11	ANA (antinuclear antibodies)			
12	Anti-SMA (smooth muscle antibodies)			
13	Anti-LKM1 (antibodies to liver-kidney microsome type 1)			
14	Anti-SLA (antibodies to soluble liver antigen)			
<b>15</b>	<b>Assessment to exclude alternative diagnosis for illness</b>			
16	By a medical specialist (e.g. hepatologist, gastroenterologist)			
17	By a medical professional			

<sup>a</sup>Possible tests to determine viral hepatitis (Hepatitis A (IgM anti-HAV), Hepatitis B (HBsAg, total anti-HBc, anti-HBs), Hepatitis C (anti-HCV ab, HCV RNA PCR), Hepatitis E (IgM/IgG anti-HEV RNA PCR))

<sup>b</sup> Approximate percentages of autoantibodies in AIH cases: ANA (antinuclear antibodies) in approx. 60-70% of AIH, Anti-SMA (smooth muscle antibodies) in up to 85% of AIH and Anti-LKM1 (antibodies to liver-kidney microsome

type 1) in approx. 70% of AIH-2. Rarely other antibodies are seen including Anti-LC-1 (anti-liver cytosol -1 antibody) in 30% AIH-2, anti-SLA/LP (anti-soluble liver antigen/liver pancreas antibodies) in 20–30% AIH-1 and AIH-2, anti-LKM3 (anti-liver-kidney microsomal antibody type 3) in 20–30% of pediatric and up to 10% of adult AIH cases.

## Brighton Collaboration levels of diagnostic certainty

### Autoimmune Hepatitis

Level 1 of diagnostic certainty [ ] 6 boxes checked	Level 2 of diagnostic certainty [ ] 6 boxes checked	Level 3 of diagnostic certainty [ ] 6 boxes checked
Characteristic liver histology (YES to Line 3)  <b><u>AND</u></b>	Characteristic liver histology (YES to Line 3)  <b><u>AND</u></b>	Characteristic or atypical liver histology (YES to Line 3 or 4)  <b><u>AND</u></b>
Elevated serum alanine aminotransferase (ALT) or aspartate aminotransferase (AST) above the upper limit of normal (ULN) (YES to Lines 6 or 7)  <b><u>AND</u></b>	Elevated serum alanine aminotransferase (ALT) or aspartate aminotransferase (AST) above the ULN (YES to Lines 6 or 7)  <b><u>AND</u></b>	Elevated serum alanine aminotransferase (ALT) or aspartate aminotransferase (AST) above the ULN (YES to Lines 6 or 7)  <b><u>AND</u></b>
Immunoglobulin G (IgG) above the ULN (YES to Line 8)  <b><u>AND</u></b>	IgG within normal limits (YES to Line 9)  <b><u>AND</u></b>	IgG within normal limits or above the ULN (YES to Line 8 or 9)  <b><u>AND</u></b>
One or more autoimmune antibodies present (YES to one or more of Lines 11-14)  <b><u>AND</u></b>	One or more autoimmune antibodies present (YES to one or more of Lines 11-14)  <b><u>AND</u></b>	Negative results or autoimmune antibodies test not done (No or test not done for Lines 11-13)  <b><u>AND</u></b>
Assessment by a medical specialist (YES to Line 16)  <b><u>AND</u></b>	Assessment by a medical specialist (YES to Line 16)  <b><u>AND</u></b>	Assessment by a medical professional (YES to Line 17)  <b><u>AND</u></b>
No alternative diagnosis for symptoms (NO to Line 1)	No alternative diagnosis for symptoms (NO to Line 1)	No alternative diagnosis for symptoms (NO to Line 1)

#### After review of findings, please check Level of diagnostic certainty:

- Level 1 for autoimmune hepatitis
- Level 2 for autoimmune hepatitis
- Level 3 for autoimmune hepatitis
- Level 4: Reported autoimmune hepatitis case with insufficient evidence to meet case definition
- Level 5: Not a case of autoimmune hepatitis